

Algebraic construction of bilinear forms over \mathbb{Z}

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Abstract. Chapter 8 in [CS] gives algebraic constructions for certain lattices. Some of these constructions used the trace map. In this note we want to show that by applying [S],[W], any bilinear form over \mathbb{Z} with nonzero determinant can be constructed as a scaled trace form of some algebra $A = \mathbb{Z}[X]/(f(X))$ where $f(X) \in \mathbb{Z}[X]$ is monic, irreducible.

Let R be a commutative ring with 1. A bilinear form over R is a pair (M, b) where M is a finitely generated projective R -module and $b : M \times M \rightarrow R$ is symmetric bilinear. If M is free and e_1, \dots, e_n is a basis for M then b can be described by the symmetric $n \times n$ matrix $B = (b(e_i, e_j))$ over R . Conversely any $B \in \text{Sym}(n, R)$ defines the bilinear form (R^n, B) . The determinant $\det(M, b)$ is defined as $\det(M, b) = \det B$. (M, b) is called regular if $\det(M, b)$ is a unit in R . We call two forms (M, b) and (M', b') with matrices $B, B' \in \text{Sym}(n, R)$ isometric if there exists an invertible $M \in \text{Mat}(n, R)$ such that $B = M^t B' M$.

If $\beta : R \rightarrow A$ is a ringmorphism such that A is a finitely generated projective R -module and $s \in \text{Hom}_R(A, R)$, then the map $(x, y) \in A \times A \rightarrow s(xy)$ defines a bilinear form (A, s) over R . More generally, if \mathcal{I} is an ideal in A such that \mathcal{I} is a finitely generated projective R -module then $(x, y) \in \mathcal{I} \times \mathcal{I} \rightarrow s(xy)$ defines a bilinear form (\mathcal{I}, s) over R . We call (\mathcal{I}, s) scaled trace form of A/R .

Let $f(X) \in \mathbb{Z}[X]$ be a monic separable polynomial of degree n (in one variable X). Then $A := \mathbb{Z}[X]/(f(X))$ is a free \mathbb{Z} -module of rank n with basis $1, X, \dots, X^{n-1}$. We set $A_{\mathbb{Q}} := A \otimes \mathbb{Q}$. If f is irreducible then $A_{\mathbb{Q}} = \mathbb{Q}(A)$ denotes the quotient field of A . Let $\text{Tr} : A_{\mathbb{Q}} \rightarrow \mathbb{Q}$ denote the trace map which is non-zero. Euler's lemma (see [L] III-1, proposition 2, corollary) implies that we have:

$$A^{\#} := \{c \in A_{\mathbb{Q}} \mid \text{Tr}(cA) \subset \mathbb{Z}\} = 1/(f'(X))A.$$

Any $c \in A^{\#}$ defines a symmetric bilinear form (A, Tr_c) where Tr_c maps $(a_1, a_2) \in A \times A$ to $\text{Tr}(ca_1 a_2)$. Let $N : A_{\mathbb{Q}} \rightarrow \mathbb{Q}$ denote the norm map. It is well known (compare [L] III-1) that if $c = c_0/f'(X) \in A^{\#}$, where $c_0 \in A$, then we have $\det(A, \text{Tr}_c) = (-1)^{n(n-1)/2} N(c_0)$. In particular, if $c \neq 0$ then $\det(A, \text{Tr}_c) \neq 0$ and the form (A, Tr_c) is regular if c_0 is a unit in the integral closure. More generally, let $\mathcal{I} \subset A$ be an ideal in A and let $c \in (\mathcal{I}^2)^{\#}$. Then we obtain a symmetric bilinear form $(\mathcal{I}, \text{Tr}_c)$ if Tr_c maps $(a_1, a_2) \in \mathcal{I} \times \mathcal{I}$ to $\text{Tr}(ca_1 a_2)$. Note that if \mathcal{B} is an ideal in A then $\mathcal{B}^{\#} = \mathcal{B}^{-1} A^{\#} = 1/(f'(X))\mathcal{B}^{-1}$. If $c = c_0/f'(X)$ where $c_0 \in \mathcal{I}^{-2}$ we have $\det(\mathcal{I}, \text{Tr}_c) = (-1)^{n(n-1)/2} N(c_0)N(\mathcal{I})^2$. (Compare [CS] page 226.)

An obvious question is the following: Let (M, b) be a scaled trace form of A/\mathbb{Z} . If (N, b') is symmetric bilinear such that $(M, b) \otimes \mathbb{Q}$ is isometric to $(N, b') \otimes \mathbb{Q}$, then is

(N, b') also a scaled trace form of A/\mathbb{Z} ? The following examples show, that the answer to this question is negative:

Examples. (a) Let $d \in \mathbb{Z}$ be not a square and set $A = \mathbb{Z}[\sqrt{d}]$. Let (M, b) be a two-dimensional symmetric bilinear form over \mathbb{Z} . Then there exists some $c \in A^\#$ such that $(M, b) = (A, Tr_c)$ if and only if there exists a \mathbb{Z} -basis m_1, m_2 of M such that the matrix of b with respect to m_1, m_2 is in

$$\left\{ \begin{pmatrix} a & b \\ b & ad \end{pmatrix} \mid a, b \in \mathbb{Z}, a \neq 0 \text{ or } b \neq 0 \right\}.$$

Proof. Set $\tau := \frac{a\sqrt{d}+b}{2\sqrt{d}}$. We obtain as matrix of (A, Tr_τ) with respect to the \mathbb{Z} -basis $1, \sqrt{d}$,

$$\begin{pmatrix} a & b \\ b & ad \end{pmatrix}.$$

□

(b) We consider the field extension $A = \mathbb{Q}[\sqrt{d}]/\mathbb{Q}$. It is easy to check that a two-dimensional symmetric bilinear form (M, b) over \mathbb{Q} is scaled trace form of A/\mathbb{Q} if and only if $0 \neq -\det(M, b)$ is a norm.

(c) Let $A = \mathbb{Z}[\sqrt{-1}]$. Since A is a principal ideal domain, example (a) describes all scaled trace forms of A/\mathbb{Z} : A two-dimensional form of determinant -4 is a scaled trace form of A/\mathbb{Z} if and only if it is given by a matrix of the following type

$$\left\{ \begin{pmatrix} a & b \\ b & -a \end{pmatrix} \mid a = \pm 2, b = 0 \text{ or } a = 0, b = \pm 2 \right\}.$$

These matrices describe only two different isometry classes of forms over \mathbb{Z} . It is well known that there exists more than two different isometry classes of symmetric bilinear forms over \mathbb{Z} with determinant -4 (See [CS] page 362.); more precisely, the form given by the matrix

$$\begin{pmatrix} 0 & 2 \\ 2 & 1 \end{pmatrix}$$

is not a scaled trace form of A/\mathbb{Z} . Since over \mathbb{Q} there exists only one isometry class of forms with determinant -4 we see that the answer to the above question is negative.

The following problem remains open: Let $d \in \mathbb{Z}$ be not a square and $A = \mathbb{Z}[\sqrt{d}]$. Then determine all isometry classes of scaled trace forms of A/\mathbb{Z} . Let $D \in Mat(n, \mathbb{Z})$. Let $\chi_D(X) = \det(XE_n - D) \in \mathbb{Z}[X]$ be the characteristic polynomial of D . The next lemma was shown in [T]. Other proofs and generalisations of this result can be found in [CP], [IS], [W].

Lemma 1. (Tausky) Let $B \in Sym(n, \mathbb{Z})$ such that $\det B \neq 0$. Suppose there exists some $M \in Mat(n, \mathbb{Z})$ such that $BM' = MB$ and $\chi_M(X)$ is irreducible. Let $A :=$

$\mathbb{Z}[X]/(\chi_M(X))$. Then there exists some $c \in A_{\mathbb{Q}}$ and $b_1, \dots, b_n \in A$ such that $\mathbb{Z}b_1 + \dots + \mathbb{Z}b_n$ is an ideal in A and $B = (\text{Tr}(cb_i b_j))$. Furthermore $(b_1, \dots, b_n) \in Q(A)^n$ is an eigenvector of $M \in \text{Mat}(n, Q(A))$.

Note that the above c is in $(\mathbb{Z}b_1 + \dots + \mathbb{Z}b_n)^\#$. Given some $B \in \text{Sym}(n, \mathbb{Z})$ we can always find some M such that $BM^t = MB$: Choose any $S \in \text{Sym}(n, \mathbb{Z})$ and set $M := BS$. Then $BM^t = B(SB) = MB$ (Compare ([CP])).

Lemma 2. For any $B \in \text{Sym}(n, \mathbb{Z})$, $\det B \neq 0$ there exists $M \in \text{Mat}(n, \mathbb{Z})$ such that $BM^t = MB$ and $\chi_M(X) \in \mathbb{Z}[X]$ is irreducible. Furthermore we may assume that $\chi_M(X)$ is totally real, that is all zeros of $\chi_M(X)$ are real.

Proof. Let $N = (X_{ij})$ be the symmetric $n \times n$ matrix where the coefficients $X_{ij} = X_{ji}$ are new indeterminates. Choose $C \in GL(n, \mathbb{Q})$ such that $C^t B C$ is a diagonal matrix. Since we may view $C^{-1} N (C^{-1})^t$ as a symmetric matrix with independent indeterminates as coefficients, by [S] or [W] the characteristic polynomial of $(C^t B C) C^{-1} N (C^{-1})^t$ is irreducible and it is also the characteristic polynomial of BN . By Hilbert's irreducibility theorem, there exist $a_{ij} = a_{ji} \in \mathbb{Q}$ such that $\chi_{B(a_{ij})}(X)$ is irreducible. If we choose $a \in \mathbb{Z}$ such that all $aa_{ij} \in \mathbb{Z}$, then $\chi_{B(aa_{ij})}(X) = a^n \chi_{B(a_{ij})}(a^{-1}X)$ is irreducible. Hence we set $M := B(aa_{ij})$. We have $BM^t = B(aa_{ij})^t B^t = MB$.

By [S], we may choose the a_{ij} above, such that $\chi_{B(a_{ij})}(X)$ is totally real. But then $\chi_{B(aa_{ij})}(X)$ is totally real as well. \square

Using the above notations we have shown:

Theorem. Let (M, b) be a bilinear form over \mathbb{Z} such that $\det(M, b) \neq 0$. Then there exist a monic, irreducible $f(X) \in \mathbb{Z}[X]$, some ideal $\mathcal{I} \subset A := \mathbb{Z}[X]/(f(X))$, and $c \in (\mathcal{I}^2)^\#$ such that $(M, b) = (\mathcal{I}, \text{Tr}_c)$. We may assume that $f(X)$ is totally real.

Remarks. (a) The result holds more generally for (M, b) over R , $\det(M, b) \neq 0$ where R is the integral closure of \mathbb{Z} in some finite field extension F/\mathbb{Q} and M is finitely generated free. We can also choose $R = k[X]$ where k is a field.

(b) Let $B \in \text{Sym}(n, \mathbb{Z})$ such that $\det B \neq 0$ and there exists some $c \in A_{\mathbb{Q}}$ and $b_1, \dots, b_n \in A$ such that $B = (\text{Tr}(cb_i b_j))$. Let $B' \in \text{Sym}(n, \mathbb{Z})$ such that there exists $C \in \text{Mat}(n, \mathbb{Z})$ with $\det C = \pm 1$ and $B' = C^t B C$. Then there exists some $c' \in A_{\mathbb{Q}}$ and $a_1, \dots, a_n \in A$ such that $B' = (\text{Tr}(c' a_i a_j))$. Furthermore, for the ideals we have $\mathbb{Z}b_1 + \dots + \mathbb{Z}b_n = \mathbb{Z}a_1 + \dots + \mathbb{Z}a_n$.

Proof. By [CP] III 5.2, there exists $M \in \text{Mat}(n, \mathbb{Z})$ such that $BM^t = MB$ and $A = \mathbb{Z}[X]/(\chi_M(X))$. Then

$$(C^t M (C^t)^{-1}) (C^t B C) = C^t B M^t C = (C^t B C) (C^t M (C^t)^{-1})^t.$$

\square

Question. Let (M, b) be a bilinear form over R , where R is the integral closure of \mathbb{Z} in some finite field extension F/\mathbb{Q} , such that M is not a free but a projective R -module. Can we realize (M, b) as scaled trace form of some R -algebra A ?

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